

Report Identification Number: NY-15-086 Prepared by: New York City Regional Office

Issue Date: 3/25/2016

This	s report, prepared pursuant to section 20(5) of the Social Services Law (SSL), concerns:
X	A report made to the New York Statewide Central Register of Child Abuse and Maltreatment (SCR) involving the death of a child.
	The death of a child for whom child protective services has an open case.
	The death of a child whose care and custody or custody and guardianship has been transferred to an authorized agency.
	The death of a child for whom the local department of social services has an open preventive service case.

The Office of Children and Family Services (OCFS) is mandated by section 20 of the SSL to investigate or cause for the investigation of the cause and circumstances surrounding the death, review such investigation, and prepare and issue a fatality report in regard to the categories of deaths noted above involving a child, except where a local or regional fatality review team issues a report, as authorized by law.

Such report must include: the cause of death; the identification of child protective or other services provided or actions taken regard to such child and child's family; any extraordinary or pertinent information concerning the circumstances of the child's death; whether the child or the child's family received assistance, care or services from the social services district prior to the child's death; any action or further investigation undertaken by OCFS or the social services district since the child's death; and as appropriate, recommendations for local or state administrative or policy changes.

This report contains no information that would identify the deceased child, his or her siblings, the parent, parents, or other persons legally responsible for the child, and any members of the deceased child's household.

By statute, this report will be forwarded to the social services district, chief county executive officer, chairperson of the local legislative body of the county where the child died and the social services district that had legal custody of the child, if different. Notice of the issuance of this report will be sent to the Speaker of the Assembly and the Temporary President of the Senate of the State of New York.

This report may <u>only</u> be disclosed to the public by OCFS pursuant to section 20(5) of the SSL. It may be released by OCFS only after OCFS has determined that such disclosure is not contrary to the best interests of the deceased child's siblings or other children in the household.

OCFS' review included an examination of actions taken by individual caseworkers and supervisors within the social services district and agencies under contract with the social services district. The observations and recommendations contained in this report reflect OCFS' assessment and the performance of these agencies.

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Abbreviations

	Relationships			
BM-Biological Mother	SM-Subject Mother	SC-Subject Child		
BF-Biological Father	SF-Subject Father	OC-Other Child		
MGM-Maternal Grand Mother	MGF-Maternal Grand Father	FF-Foster Father		
PGM-Paternal Grand Mother	PGF-Paternal Grand Father	DCP-Day Care Provider		
MGGM-Maternal Great Grand Mother	MGGF-Maternal Great Grand Father	PGGF-Paternal Great Grand Father		
PGGM-Paternal Great Grand Mother	MA/MU-Maternal Aunt/Maternal Uncle	PA/PU-Paternal Aunt/Paternal Uncle		
	Contacts			
LE-Law Enforcement	CW-Case Worker	CP-Case Planner		
DrDoctor	ME-Medical Examiner	EMS-Emergency Medical Services		
DC-Day Care	FD-Fire Department	BM-Biological Mother		
CPR-Cardio-pulmonary Resuscitation				
	Allegations			
FX-Fractures	II-Internal Injuries	L/B/W-Lacerations/Bruises/Welts		
S/D/S-Swelling/Dislocation/Sprains	C/T/S-Choking/Twisting/Shaking	B/S-Burns/Scalding		
P/Nx-Poisoning/ Noxious Substance	XCP-Excessive Corporal Punishment	PD/AM-Parent's Drug Alcohol Misuse		
CD/A-Child's Drug/Alcohol Use	LMC-Lack of Medical Care	EdN-Educational Neglect		
EN-Emotional Neglect	SA-Sexual Abuse	M/FTTH-Malnutrition/Failure-to-thrive		
IF/C/S-Inadequate Food/ Clothing/ Shelter	IG-Inadequate Guardianship	LS-Lack of Supervision		
Ab-Abandonment	OTH/COI-Others			
	Miscellaneous			
IND-Indicated	UNF-Unfounded	SO-Sexual Offender		
Sub-Substantiated	Unsub-Unsubstantiated	DV-Domestic Violence		
LDSS-Local Department of Social	ACS-Administration for Children's	NYPD-New York City Police		
Service	Services	Department		
PPRS-Purchased Preventive Rehabilitative Services				

Case Information

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Report Type: Child Deceased **Jurisdiction:** New York **Date of Death:** 10/23/2015

Age: 9 day(s) Gender: Female Initial Date OCFS Notified: 10/24/2015

Presenting Information

On 10/24/15, the SCR registered a report alleging that the 9-day-old SC who was left alone with the mother for four hours between 10/14/15 to 10/15/15, sustained a subdural hematoma and a "bucket fracture" of the right femur. The report alleged that the SC passed away from these injuries on 10/23/15 at 5:30 P.M.

Executive Summary

The SC was 9 days old when she died. The ME has indicated that the child's death was due to natural causes.

The mother received pre-natal care and gave birth to the SC at Harlem Hospital; however, the SC was born with some medical complications. After undergoing medical procedures, she was transferred to Bellevue Hospital on 10/15/15 for treatment.

The NYPD indicated that according to Harlem Hospital the SC sustained a subdural hematoma and a "bucket fracture" of the right femur. The medical staff at Harlem Hospital noted that the mother was alone with the SC for four hours. The NYPD reported that Bellevue Hospital, where the child had been transferred, alerted them regarding the SC's death, as the death was deemed suspicious.

The NYPD noted that the mother was interviewed on 10/24/15 and was very forthcoming in her responses to questions. The NYPD indicated that the mother denied ever being left alone with the the SC at Harlem Hospital. The mother informed the NYPD that she only held the SC twice: first in the delivery room and then in the room where she was taken after the delivery. The mother reported she took pictures of the SC when they were in the second room and that was when the nurse noticed the SC was pale and took the SC for observation. The NYPD suspected that the SC sustained the injuries at Harlem Hospital and their investigation was assigned to the local precinct that covered that jurisdiction.

ACS interviewed the parents separately at the precinct with the use of an interpreter. The parents said that after the SC was born, a doctor informed them that there was "something wrong" with the SC's right leg. The parents denied being left alone at Harlem Hospital with the SC. The mother indicated that while the SC was in her room on 10/14/15, their nurse informed them that the SC was pale. Therefore, the nurse took the SC for medical observation and the parents were later informed that the SC had some internal head injuries. The parents noted that the report of the injuries was a shock to them. ACS asked the parents whether the SC was dropped or had any accident while she was in their care; they denied anything happened to the SC in their presence. The parents reported that the SC was transported to Bellevue Hospital on 10/15/15, where she was placed on life support and pronounced dead on 10/23/15.

Although ACS obtained Health Insurance Portability and Accountability Act (HIPAA) release forms from the parents, Bellevue Hospital, refused to provide their medical records. ACS obtained medical records from Harlem Hospital which reflected the description of the mother's delivery and the medical problems involving the SC that were discovered at the hospital. The record did not have any indication that the SC was left unsupervised in the

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mother's care.

The NYPD reported they found no criminality by the parents; however, their investigation remains open pending the autopsy report.

On 3/25/16, ACS unsubstantiated the allegations of the report on the basis that the parents were not left unsupervised with the child and the ME has reported that the child's death was from natural causes. The ME also included the possibility that the child may have had a "rare natural disease."

Findings Related to the CPS Investigation of the Fatality

Safety Assessment:

- Was sufficient information gathered to make the decision recorded on the:
 - Safety assessment due at the time of determination? N/A

Determination:

Was sufficient information gathered to make determination(s) for all allegations as well as any others identified in the course of the investigation?

The CPS report had not yet been determined at the time this Fatality report was issued.

Was the determination made by the district to unfound or indicate N/A appropriate?

Explain:

Thus far ACS has made relevant contacts; however, there has been no determination made.

N/A Was the decision to close the case appropriate? Yes

Was casework activity commensurate with appropriate and relevant

statutory or regulatory requirements?

Was there sufficient documentation of supervisory consultation? Yes, the case record has detail of the

consultation

Explain:

A determination has not been made.

Required Actions Related to the Fatality

Are there Required Actions related to the compliance issue(s)? $\square Yes \square No$

Fatality-Related Information and Investigative Activities

Incident Information

Date of Death: 10/23/2015 Time of Death: 05:30 AM

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County where fatality incide	ent occurred:	New York						
Was 911 or local emergency	number called?	No						
Did EMS to respond to the s	cene?	No						
At time of incident leading to death, had child used alcohol or drugs? N/A								
Child's activity at time of inc	cident:							
☐ Sleeping	☐ Working	☐ Driving / Vehicle occupant						
☐ Playing ☐ Eating		☑ Unknown						
☐ Other								
Did child have supervision a	t time of incident leading to de	ath? Yes						
Is the caretaker listed in the 1	Household Composition? Yes	- Caregiver						
At time of incident supervisor impaired.	or was: Unknown if they were							
Total number of deaths at in								

Household Composition at time of Fatality

Household	Relationship	Role	Gender	Age
Deceased Child's Household	Deceased Child	Alleged Victim	Female	9 Day(s)
Deceased Child's Household	Father	Alleged Perpetrator	Male	30 Year(s)
Deceased Child's Household	Mother	Alleged Perpetrator	Female	33 Year(s)

LDSS Response

Following the receipt of the fatality report, ACS made numerous contacts with the NYPD, ME, medical staff at Bellevue Hospital and Harlem Hospital. However, to date there has been no information confirming that the parents were left alone with the SC at any point while at Harlem Hospital. The parents were interviewed and both denied being left alone with the SC. This was the parents, only child.

ACS obtained medical records from Harlem Hospital and there was no indication that the parents were left unsupervised with the SC. According to the medical record ACS obtained from Harlem Hospital, the mother was admitted to the hospital on 10/13/2015 and had a spontaneous delivery of the SC. The SC was taken to the NICU where various medical tests were completed. The medical staff had a discussion with the parents regarding the SC's condition and the need for emergency intervention. Following the intervention, the SC was transferred to Bellevue Hospital for further treatment.

The ME reported the SC had subdural hemorrhage, a small injury of the leg and bleeding behind the eyes. The ME noted that the injuries were "worrisome", but explained that the injuries could also be the result of a very rare natural disease. The ME further noted the SC had no marks or bruises on her body. There was no inquiry about the "bucket handle fracture" of the right femur. As of 3/11/16, the autopsy has not been issued and the ME has not provided a preliminary

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cause and manner of death.

The NYPD indicated that they did not suspect any criminality on part of the parents and their investigation remains open pending the results of the autopsy report.

On 3/25/16, ACS unsubstantiated the allegations of the report on the basis that the parents were not left unsupervised with the child and the ME has reported that the child's death was from natural causes. The ME also included the possibility thatthe child may have had a "rare natural disease."

Official Manner and Cause of Death

Official Manner: Natural

Primary Cause of Death: From a medical cause

Person Declaring Official Manner and Cause of Death: Medical Examiner

Multidisciplinary Investigation/Review

Was the fatality investigation conducted by a Multidisciplinary Team (MDT)?No

Comments: The investigation adhered to previously approved protocols for joint investigation.

Was the fatality reviewed by an OCFS approved Child Fatality Review Team? No

Comments: There is no OCFS approved Child Fatality Review Team in the NYC Region.

SCR Fatality Report Summary

Alleged Victim(s)	Alleged Perpetrator(s)	Allegation(s)	Allegation Outcome
022023 - Deceased Child, Female, 9 Day(s)	022021 - Mother, Female, 33 Year(s)	Fractures	Pending
022023 - Deceased Child, Female, 9 Day(s)	022021 - Mother, Female, 33 Year(s)	Internal Injuries	Pending
022023 - Deceased Child, Female, 9 Day(s)		Inadequate Guardianship	Pending
022023 - Deceased Child, Female, 9 Day(s)	022021 - Mother, Female, 33 Year(s)	DOA / Fatality	Pending

CPS Fatality Casework/Investigative Activities

	Yes	No	N/A	Unable to Determine
All children observed?			X	

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When appropriate, children were	interviewed	?				×	
Alleged subject(s) interviewed fac	e-to-face?			×			
All 'other persons named' intervi	ewed face-to-	face?				×	
Contact with source?				X			
All appropriate Collaterals conta	cted?			X			
Was a death-scene investigation p	erformed?					×	
Was there discussion with all par members, and staff) who were pr observation and comments in cas	esent that day			\boxtimes			
Coordination of investigation wit	h law enforce	ement?		×			
Did the investigation adhere to es investigation?	tablished pro	otocols for a	a joint	×			
Was there timely entry of progress documentation?	ss notes and o	other requi	red	×			
	Fatali	ity Safety As	sessment Acti	vities	No	N/A	Unable to Determine
							Determine
Were there any surviving siblings	or other chi	ldren in the	e household	? 🗆	×		
Was there legal activity as a resul	Legal t of the fatali	Activity Rel ty investiga	ated to the Fa	tality was no leg	al activity		
Was there legal activity as a resul	Legal	Activity Rel ty investiga	ated to the Fa	tality was no leg	al activity		
Was there legal activity as a resul	Legal t of the fatali	Activity Rel ty investiga	ated to the Fa	tality was no leg se to the Fat Needed but not	al activity	N/A	
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Was there legal activity as a resul Se	Legal t of the fatali rvices Provide Provided After	Activity Rel ty investiga d to the Fam Offered, but Refused	ated to the Fantion? There ily in Responsi	was no leg se to the Fat Needed but not Offered	al activity ality Needed but	N/A	CDR Lead to Referral

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Were services provided to siblings or other children in the household to address any immediate needs and support their well-being in response to the fatality? $\rm N/A$

Explain:

There were no surviving siblings.

Were services provided to parent(s) and other care givers to address any immediate needs related to the fatality? N/A

Explain:

There were no immediate needs following the fatality.

History Prior to the Fatality

Child Information

Did the child have a history of alleged child abuse/maltreatment? No Was there an open CPS case with this child at the time of death? No Was the child ever placed outside of the home prior to the death? No Were there any siblings ever placed outside of the home prior to this child's death? No Was the child acutely ill during the two weeks before death? No



Infants Under One Year	r Old
During pregnancy, mother: ☐ Had medical complications / infections ☐ Misused over-the-counter or prescription drugs ☐ Experienced domestic violence ☑ Was not noted in the case record to have any of the issues listed	☐ Had heavy alcohol use☐ Smoked tobacco☐ Used illicit drugs
Infant was born: ☐ Drug exposed ☑ With neither of the issues listed noted in case record	☐ With fetal alcohol effects or syndrome
CPS - Investigative History Three Yea	ars Prior to the Fatality
There is no CPS investigative history within three years prior to the fa	tality.
CPS - Investigative History More Than Three	Years Prior to the Fatality
The family had no known CPS history.	
Known CPS History Outsid	e of NYS
The family had no known CPS history outside of NYS.	
Services Open at the Time o	f the Fatality
Required Action(s)	
Are there Required Actions related to compliance issues for provis ☐Yes ☒No	sions of CPS or Preventive services ?
Preventive Services His	tory
There is no record of Preventive Services History provided to the deceother children residing in the deceased child's household at the time of	
Required Action(s)	
Are there Required Actions related to the compliance issues for pr☐Yes ⊠No	covision of Foster Care Services?

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Foster Care Placement History
There is no record of foster care placement history provided to the deceased child, the deceased child's siblings, and/or the other children residing in the deceased child's household at the time of the fatality.
Legal History Within Three Years Prior to the Fatality
Was there any legal activity within three years prior to the fatality investigation? There was no legal activity
Recommended Action(s)
Are there any recommended actions for local or state administrative or policy changes? □Yes ⊠No

Are there any recommended prevention activities resulting from the review? ☐Yes ☒No